

FORM PTO 1390  
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
2004-1421ATRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO.  
(if known, see 37 CFR 1.5)  
NEW

10/507186

International Application No.  
PCT/JP03/06313International Filing Date  
May 21, 2003Priority Date Claimed  
May 22, 2002Title of Invention  
SPECULATIVE RECORDING DEVICEApplicant(s) For DO/EO/US  
Mitsuteru KATAOKA

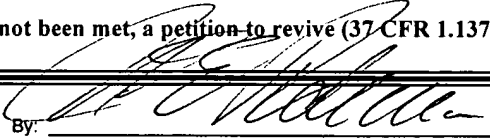
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau. **ATTACHMENT A**
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). **ATTACHMENT B**
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An (EXECUTED) oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). **ATTACHMENT C**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **ATTACHMENT D**
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. **ATTACHMENT E**
13. ☒ A FIRST preliminary amendment. **ATTACHMENT F**  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ Other items or information:

Submission of Formal Replacement Drawings - **ATTACHMENT G**Notification Concerning Submission or Transmittal of Priority Document - **ATTACHMENT H**THE COMMISSIONER IS AUTHORIZED  
TO ASSESS A DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

U.S. APPLICATION NO. <b>10/507186</b> NEW		INTERNATIONAL APPLICATION NO. PCT/JP03/06313		ATTORNEY'S DOCKET NO. 2004-1421A					
15. [X] The following fees are submitted  <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1080.00 International Search Report has been prepared by the EPO or JPO ..... \$ 920.00 International preliminary examination fee not paid to USPTO but international search paid to USPTO ..... \$ 770.00 International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 690.00 International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td style="height: 100px; vertical-align: top;">           \$920.00         </td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$920.00	
CALCULATIONS	PTO USE ONLY								
\$920.00									
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$					
Claims	Number Filed	Number Extra	Rate						
Total Claims	8 -20 =		X \$18.00	\$					
Independent Claims	6 - 3 =	3	X \$86.00	\$258.00					
Multiple dependent claim(s) (if applicable)			+ \$290.00	\$					
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,178.00					
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$					
<b>SUBTOTAL =</b>				\$1,178.00					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$					
<b>TOTAL NATIONAL FEE =</b>				\$1,178.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$40.00					
<b>TOTAL FEES ENCLOSED =</b>				\$1,218.00					
				Amount to be refunded	\$				
				Amount to be charged	\$				
a. [X] A check in the amount of \$1,218.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975.									
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))          must be filed and granted to restore the application to pending status.</b>									
19. CORRESPONDENCE ADDRESS   <div style="text-align: center;">           CUSTOMER NO.  <b>000513</b> </div>			<div style="text-align: center;">             By: _____            Nils E. Pedersen ,            Registration No. 33,145             WENDEROTH, LIND &amp; PONACK, L.L.P.            2033 "K" Street, N.W., Suite 800            Washington, D.C. 20006-1021            Phone: (202) 721-8200            Fax: (202) 721-8250             September 10, 2004         </div>						

[CHECK NO. 63999]

[2004-1421A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Mitsuteru KATAOKA : **MAIL STOP: PCT**  
Serial No. NEW : Attorney Docket No. 2004-1421A  
Filed September 10, 2004 :

SPECULATIVE RECORDING DEVICE  
[Corresponding to PCT/JP03/06313  
Filed May 21, 2003]

**SUBMISSION OF FORMAL REPLACEMENT DRAWINGS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

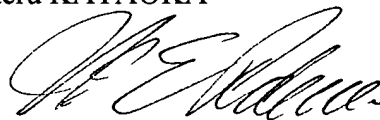
Sir:

Enclosed are 6 sheets of formal replacement drawings (Figs. 3, 5 and 16-19). Figs. 3 and 5 make minor changes and Figs. 16-19 are labeled as Prior Art.

Respectfully submitted,

Mitsuteru KATAOKA

By:



Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicant

NEP/krq  
Washington, D.C. 20006-1021  
Telephone: (202) 721-8200  
Facsimile: (202) 721-8250  
September 10, 2004

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE AN INEFFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

ATTACHMENT G